

RFP for Half Yearly System Audit for BSE, NSE, NSDL & CDSL for F.Y. 2026-27

DISCLAIMER

The information contained in this Request for Proposal (RFP) document or information provided subsequently to bidder(s) or applicants whether verbally or in documentary form by or on behalf of Stockholding Services Limited (SSL), is provided to the bidder(s) on the terms and conditions set out in this RFP document and all other terms and conditions subject to which such information is provided.

This RFP document is not an agreement and is not an offer or invitation by SSL to any parties other than the applicants who are qualified to submit the bids ("bidders"). The purpose of this RFP is to provide the bidder(s) with information to assist the formulation of their proposals. This RFP does not claim to contain all the information each bidder may require. Each bidder should conduct its own investigations and analysis and should check the accuracy, reliability and completeness of the information in this RFP and where necessary obtain independent advice. SSL makes no representation or warranty and shall incur no liability under any law, statute, rules or regulations as to the accuracy, reliability or completeness of this RFP. SSL may in its absolute discretion, but without being under any obligation to do so, update, amend or supplement the information in this RFP.

RFP Document Details:

Name of Organization	Stockholding Services Limited
RFP Reference No.	RFP/ITAUDIT/2026-27/004
Date of issue of RFP document	06 th October 2026
Last Date of submission of bid document	16 th October 2026 12.30 P.M.
Audit Fees validity	60 Days from date of Last Date Submission
Mode of Document Submission	GeM Portal
This bid document is not transferable	
GEM ID : BID NO: GEM/2026/B/8122836	

Invitation for bids

This "Invitation for bids" is for "**Half Yearly System Audit for BSE, NSE, NSDL & CDSL for F.Y. 2026-27**"

On the name of HEAD - IT, Stockholding Services Limited
SHCIL House, Plot No. P-51, TTC Industrial Area, M.I.D.C., Mahape,
Navi Mumbai PIN 400710 on or before 23rd April 2026, on or before 03:30 PM
Ph. 61778649, 61778600

Due Diligence:

The bidder is expected to examine all instructions, Forms, Terms, Conditions and Specifications in this RFP. Bids shall be deemed to have been made after careful study and examination of this RFP with full understanding of its Implications. The Bid should be precise, complete with all details required as per this RFP document. Failure to furnish all information required by this RFP or submission of Bid not as per RFP requirements will be at the bidder's risk and may result in rejection of the bid and the decision of SSL in this regard will be final and conclusive and binding.

Contents of this RFP Document:

The requirements, bidding procedure, general terms & conditions are prescribed in this RFP document with various sections

- (A) Bids Preparation and Submission Details
- (B) Requirement
- (C) Terms and Conditions

Clarifications regarding RFP Document:

Before bidding, the bidders are requested to carefully examine the RFP Document and the Terms and Conditions specified therein, and if there appears to be any ambiguity, contradictions, gap(s) and/or discrepancy in the RFP Document, they should forthwith refer the matter to SSL for necessary clarifications.

SSL shall not be responsible for any external agency delays.

SSL reserves the sole right for carrying out any amendments/modifications/changes in the bidding process including any addendum to this entire RFP

At any time before the deadline for submission of bids / offers, SSL may, for any reason whatsoever, whether at its own initiative or in response to a clarification requested by bidders, modify this RFP Document.

All bidders who have received this RFP document shall be notified of the amendment in writing by e-mail and all such amendment(s) shall be binding on them

SSL reserves the rights to extend the deadline for the submission of bids, if required. However, no request from the bidders for extending the deadline for submission of bids, shall be binding on SSL.

SSL reserves the right to amend/cancel the RFP without assigning any reasons

Eligibility Criteria

ELIGIBILITY CRITERIA FOR SYSTEM AUDIT / CYBER SECURITY AUDIT			
Sr · N o.	CRITERIA FOR AUDITOR	COMPLI ED (YES / NO)	Remark
1	The System Auditors shall have minimum 3 years of experience in IT audit of Commodities/Securities market participants e.g. exchanges, clearing corporations, depositories, stock brokers, depository participants etc. The audit experience should cover all the major areas mentioned under Terms of Reference (TOR) of the system audit specified by SEBI / stock exchange from time to time as per the circular mentioned above.		Self Declaration Applicable for System
2	The System Auditor's should be empaneled with BSE, NSE, MCX, CDSL and NSDL.		Self-Declaration and exchange approval

3	System Auditor has to confirm to submit the report to regulators as per the prescribed format of regulators for the financial year period 2026-27.		Self-Declaration
4	The System Auditor shall not have any conflict of interest in conducting fair, objective and independent audit of the Trading Member. Further, the directors / partners of Auditor firm shall not be related to any Trading Member including its directors or promoters either directly or indirectly.		Self Declaration
5	The System Auditors shall not have any cases pending against its previous audited companies/firms, which fall under SEBI's jurisdiction, which point to its incompetence and/or unsuitability to perform the audit task.		Self Declaration

1. Commercial Bid Format:

Sr. No.	Scope of Work	Applicant	Amount (Rs.)
1	Half Yearly System Audit of BSE, NSE, CDSL and NSDL for F.Y. 2026-27 in respective exchange and depository formats	System Auditor	

(A) REQUIREMENT

Scope of Work:

- (1) System Audit of NSE, BSE, CDSL and NSDL for following application with mentioned segments of Exchanges as per the prescribed circulars from respective exchanges for Half Yearly F.Y 2026-27.

Sr. No.	Exchange Name & SEGMENT	ODIN CTCL	TCS BaNCS ITS	TCS BaNCS CTCL	Rupeeseed Mobile StockFin 2.0	OMNENEST NEST CTCL ALGO
1	NSE CM/FO / CDS	YES	YES	YES	YES	YES
2	BSE CM	YES	YES	YES	YES	YES

System Audit reports should be submitted to respective exchanges on or before the deadlines mentioned in exchange circulars.

- (2) System Auditor need to visit SSL office at least for 15 days in 30 days to complete Audit work.
- (3) System Auditor has to visit to SSL Office and Data Center on its own pocket. No visiting charges will be provided for Data Center visit.
- (4) System Auditor has to complete Audit work on or before 10th November 2026.
- (5) For version change applications System Auditor need to submit proper report as per check list from respective exchanges. Within a month time period,
- (6) Auditor has to provide help for submission of Action Taken Report and Follow-on Audit Reports also for system audit and Cyber Security Audit if applicable
- (7) Terms & Conditions
Payment Terms:

- (a) 100% payment will be done after completion of Audit work and submission of the Audit reports to respective exchanges and Depositories . All Applicable taxes payable extra at actual
- (b) Applicable TDS, Cess, etc. will be deducted from the payment(s)

(8) Penalty Clause:

Penalty Clause for Non-Submission / Improper Submission of Reports

“In the event that the Auditor fails to submit any report, certificate, or required documentation to the relevant regulatory authority within the stipulated timelines and/or in the prescribed format as mandated by applicable laws, rules, or guidelines, such failure shall be deemed a material breach of this engagement.

Without prejudice to any other rights or remedies available, the Auditor shall be liable to:

1. **Rectify and resubmit** the report at no additional cost within a time period specified by the Company;
2. **Pay liquidated damages** amounting to [10]% of the audit fees for each instance of non-compliance, subject to a maximum of [20]% of the total engagement value;
3. **Indemnify and hold harmless** the Company against any penalties, fines, losses, or reputational damage arising due to such failure; and
4. **Face termination of the engagement**, at the discretion of the Company, in case of repeated or material non-compliance.

The Auditor shall remain fully responsible for ensuring compliance with all applicable regulatory submission requirements, including format, accuracy, and timelines.”